

DOCCS FACT SHEET

September 1, 2022

The management and operation of prisons is difficult work. It requires an equal measure of patience, discipline and creativity. DOCCS is responsible for the care, custody, and treatment of individuals sentenced to state prison, working with these incarcerated individuals to ensure successful re-entry into the community and supervising those who are placed on parole. That's our Department's mission and it's the guide we follow to carry out the very serious responsibility entrusted to us.

The material contained within this Fact Sheet is based on statistical information compiled by DOCCS and it is intended to provide insight into the function and operation of the Department's 44 facilities that house 31,053 incarcerated individuals and 27,509 parolees under DOCCS' responsibility.

Prison Safety

Statewide Assaults*								
2017 2018 2019 2020 2021								
Assaults on Staff Statewide**	799	972	1,033	1,047	1,177	995		
Assaults on Incarcerated Individuals Statewide**	1,224	1,165	1,265	1,205	1,108	953		

^{*}Excludes Edgecombe and Parole Diversion Programs.

^{**}Includes disciplinary confinement SHU 200 units and Regional Medical Units.

Maximum vs. Medium Facility Incarcerated Individual-on-Staff Assaults* 2017-2022								
	2017	2018	2019	2020	2021	2022		
Assaults on Staff Statewide	799	972	1,033	1,047	1,177	995		
Maximum-Security*	567	722	769	774	885	697		
Medium-Security	214	237	249	262	284	278		

Excludes Edgecombe and Parole Diversion Programs.

Note: Unlike the Penal Law where physical injury is a required element, any attack by an incarcerated individual is classified by DOCCS as an assault. This includes events where no physical injury occurs and events where any object, including a small object, is thrown at and hits another person. By contrast, in the Penal Law, an assault requires physical injury, which means impairment of physical condition or substantial pain.

2022 2 nd Quarter Assaults									
	Apr	May	Jun		Total				
Assault on Incarcerated Individual	130	132	126		388				
Assault on Staff	122	146	138		406				
Total	252	278	264		794				

^{*}Includes disciplinary confinement SHU 200 units and Regional Medical Units.

Assault Degree of Injury to Staff								
Incident Type: Staff Injured during an Incarcerated Individual on Incarcerated Individual Assault								
	Jun	Jul	Aug		Total			
No Injury	465	486	435		1,386			
Minor*	5	12	9		26			
Moderate**	0	0	0		0			
Serious***	0	0	1		1			
Severe****	0	0	0		0			
Total	470	498	445		1,413			
Incident Type: Staf	f Injured duri	ng an Incarce	rated Individu	ual	on Staff Assault			
	Jun	Jul	Aug		Total			
No Injury	636	565	583		1,784			
Minor*	204	188	238		630			
Moderate**	19	7	9		35			
Serious***	3	0	5		8			
Severe****	0	0	0		0			
Total	862	760	835		2,457			

Note: Excludes Edgecombe, residential treatment facilities and Parole Diversion Programs and is based upon staff injuries sustained in Unusual Incidents each month, including employee accidents.

Effective October 1, 2014 per Article VII bill, DOCCS added a category of severe and redefined injury

Escape Incidents

- The last escape from within a maximum security secure perimeter was 2015 at Clinton Correctional Facility; prior to 2015 the last escape was in 2003.
- The last escape from within a medium security secure perimeter was 1992 at Mid-Orange Correctional Facility.

Prison Closures

- Since 1999, New York's prison population has declined by 57.5 percent, from a high of 72,649 incarcerated individuals to 31,053 (9/1/22).
- Since 2011, the State has eliminated more than 13,000 prison beds and closed a total of 24 correctional facilities due to excess bed capacity resulting in an overall annual savings of approximately \$442 million.

^{*}Injuries that require either no treatment, minimal treatment (scratch, bruise, aches/pain) or precautionary treatment.

^{**} Injuries such as lacerations, concussions, 2nd degree burns, serious sprains, dislocation, and muscle or ligament damage.

^{***}Injuries that require transport to an outside hospital but are not considered life-threatening at the preliminary report.

^{****} Injuries that cause obvious disfigurement, protracted impairment of health, loss or impairment of organ function, amputation, and injuries that risk cause of death.

DOCCS security staff reductions have been consistent with incarcerated population declines.
 In each of the prison closure years, more than 96% of affected employees remained employed, retired or resigned.

	D	OCCS Sec	urity Staf	f and Incarce	ated Popu	ulation	
December	Correction Officers Sergeants Lieutenants Positions Filled	Numeric Change	Percent Change	Incarcerated Population	Numeric Change	Percent Change	Staffing Ratio Incarcerated Population Versus Filled Uniform Staff Positions
2003	20,794	-464	-2.2%	66,110	-1,549	-2.3%	3.2
2004	21,068	274	1.3%	64,905	-1,205	-1.8%	3.1
2005	21,420	352	1.7%	63,930	-975	-1.5%	3.0
2006	21,505	85	0.4%	64,410	480	0.8%	3.0
2007	21,414	-91	-0.4%	63,425	-985	-1.5%	3.0
2008	21,404	-10	0.0%	60,933	-2,492	-3.9%	2.8
2009	20,602	-802	-3.7%	59,279	-1,654	-2.7%	2.9
2010	20,652	50	0.2%	57,229	-2,050	-3.5%	2.8
2011	19,647	-1,005	-4.9%	55,979	-1,250	-2.2%	2.8
2012	19,192	-455	-2.3%	54,865	-1,114	-2.0%	2.9
2013	19,145	-47	-0.2%	54,142	-723	-1.3%	2.8
2014	19,002	-143	-0.7%	53,103	-1,039	-1.9%	2.8
2015	19,360	358	1.9%	52,344	-759	-1.4%	2.7
2016	19,233	-127	-0.7%	51,466	-878	-1.7%	2.7
2017	19,242	9	0.0%	50,271	-1,195	-2.3%	2.6
2018	19,295	53	0.3%	47,459	-2,812	5.6%	2.5
2019	19,072	-223	-1.2%	44,334	-3,125	-6.6%	2.3
2020	18,541	-531	-2.8%	34,446	-9,888	-22.3%	1.9
2021	17,415	-1,126	-6.1%	30,746	-3,700	-10.7	1.8

Staffing and Population Differences								
	12/31/99	9/1/22	Numeric Difference	Percent Change				
Correction Officers Sergeants Lieutenants	22,112	16,543	5,570	-25.1%				
Incarcerated Individuals	72,649	31,053	41,596	-57.2%				

	DOCCS Correctional Facility Security Personnel Council 82/NYSCOPBA Staff													
	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020	2021	2022
Council 82														
Lieutenants	526	521	458	483	482	472	475	471	480	478	470	436	413	408
NYSCOPBA														
Sergeants	1,236	1,256	1,169	1,196	1,190	1,175	1,172	1,179	1,193	1,184	1,171	1,094	1,028	993
Officers	18,955	18,930	17,945	17,741	17,627	17,569	17,635	17,601	17,581	17,528	17,431	17,071	15,974	15,142
Subtotal	20,191	20,186	19,114	18,937	18,817	18,744	18,807	18,780	18,774	18,712	18,602	18,165	17,002	16,135
Total	20,717	20,707	19,572	19,420	19,300	19,216	19,282	19,251	19,254	19,190	19,072	18,601	17,415	16,543

N	Number and Percent of Incarcerated Individuals Convicted of a Violent Felony Offense In Male Maximum and Medium Security Facilities September 1 st of Selected Years								
	2012				2017			2022	
	VFO	Incarcerated Individuals	%	VFO	Incarcerated Individuals	%	VFO	Incarcerated Individuals	%
Maximum Facilities	19,089	23,742	80.4%	17,361	21,371	81.2%	12,414	14,112	88.0%
Medium Facilities	15,132	27,441	55.1%	13,639	24,703	55.2%	9,707	14,855	65.3%

Note: This table includes incarcerated individuals at the following parole program facilities: Edgecombe, Orleans, and Hudson PDP.

SHU Cell Occupants Effective Beginning of Business								
Inmate Status	6/1/2022	7/1/2022	8/1/2022	9/1/2022				
SHU Disciplinary Sanction	287	325	394	430				
KEEPLOCK Disciplinary Sanction	0	0	0	0				
Administrative Segregation	0	0	0	0				
Involuntary Protective Custody	0	0	0	0				
Pending Disciplinary Hearing	115	100	94	109				
Pending Movement From Unit	0	0	2	1				
Pending Investigation	0	0	0	0				
Special Watch	0	0	0	0				
Voluntary Protective Custody	0	0	0	0				
TOTAL SHU Cell Occupants (Excluding Youthful Offenders)	402	425	490	540				

Statewide Incarcerated Individuals Convicted of a Violent Felony Offense									
End of Year	2017	2018	2019	2020	2021	2022 YTD			
Violent Offenders	32,236	31,093	29,623	25,193	22,706	23,047			

Note: This table includes individuals at the following parole program facilities: Edgecombe Residential Treatment, and Orleans and Hudson Parole Diversion Programs.

2015 Releases by Return Rate									
Release Type And Release Cohort	Total Released	Total Re	turned		n Type nmitment	Return Type Parole Violator			
2015 Releases	20,776	8,744	42.1%	1,831	8.8%	6,913	33.3%		
Parole	8,352	4,377	52.4%	681	8.2%	3,696	44.3%		
Conditional Release	10,259	3,946	38.5%	729	7.1%	3,217	31.4%		
Maximum Expiration	2,165	421	19.4%	421	19.4%	N/A	N/A		

Note: DOCCS defines recidivism as recommitment or return to NYS DOCCS custody after an incarcerated

individual's sanctioned release to the community.

Note: DOCCS followed a 2015 release cohort of formerly incarcerated individuals for three years to obtain the return rate data in the above table.

Community Supervision Staffing and Cases by Region/Bureau*								
(start of month da	ta - excludes staff an	d parolees in the revocation process)						
Region/Bureau	Number of Parolees	Number of Parole Officers & Senior Parole Officers						
Bronx Region								
Bureau I	591	16						
Bureau II	541	21						
Bureau III	332	13						
Bureau IV	382	13						
Bureau V	441	15						
Brooklyn Region								
Bureau I	595	24						
Bureau II	406	19						
Bureau III	612	22						
Bureau IV	675	25						
Central New York								
Northeast	408	14						
Plattsburgh Sub	247	9						
Syracuse Belt	395	16						
Syracuse	665	26						
Utica	702	25						
Watertown Sub	263	8						
Hudson Valley Region								
New Rochelle	568	15						
Peekskill	532	15						
Albany	692	24						
Schenectady	676	21						
Poughkeepsie	578	20						
Manhattan/Staten Island								
Manhattan Bureau II	345	15						
Manhattan Bureau III	596	12						
Manhattan Bureau IV	558	13						
Manhattan Bureau VI	569	22						

Staten Island	324	11
Queens/Long Island		
Queens Bureau I	451	9
Queens Bureau II	643	14
Queens Bureau III	559	16
Long Island SOU	466	14
Suffolk	488	17
Nassau	407	14
Western Region		
Buffalo Metro	702	19
Niagara Frontier	331	11
Elmira	447	16
Binghamton Sub	373	10
Rochester Metro	623	22
Rochester Belt	450	16
Rochester SOU	607	27
Southern Tier Sub	506	13

^{*}Average case load size cannot be determined using this data